

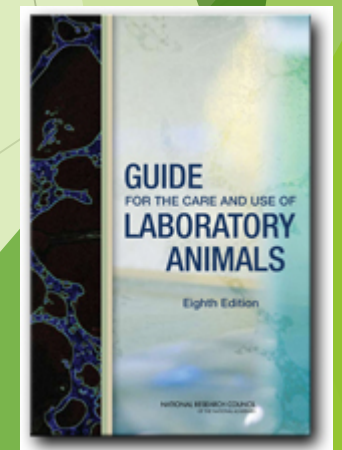
# IACUC INSPECTION

Review 2019

# Why Inspect?

Semiannual (Every 6 months) facility inspection are required from:

- United States Department of Agriculture (USDA [AWARs](#))
- Office of Laboratory Welfare (NIH/[PHS Policy](#)).
- The Guide for the Care and use of Laboratory Animals
- The purpose of the Semi-Annual Inspection is for the IACUC to assess the institutions animal research program compliance with all applicable mandates using the "Guide" as their bases.



# Corrective Actions and Reporting Commitments

USDA **AWARs** & NIH **PHS Policy**

**\*Require\***

“Significant” & “Minor” deficiencies to be:

1. Distinguished in the semiannual program review reports.
2. Contain a reasonable and specific plan and deadline for correction.

# GENERAL FLOW

## DAY OF INSPECTIONS:

Meet as a team → Inspect → ID Findings → Consult w/Team

Finding sent to lab → Response with set time → Review response for completeness

## BEHIND THE SCENES:

### Determine Classification:

Comment, Minor, (IACUP Scribe) or Significant / “Grey Areas” (consult w/ Team)

Significant - Reviewed with IACUC Director

## ALL MINOR & SIGNIFICANT FINDINGS REPORTED

1. PROGRAM REVIEW
2. FULL COMMITTEE (Pending Items Completed)
3. IO

# Identify deficiencies and classification: Minor vs Significant

- ▶ A **significant deficiency** is defined as one that, in the judgment of the IACUC or the Institutional Official, is or may be a **threat to the health or safety of animals**. (human health concerns are referred to occ health and/or eh&s)
- ▶ Serious breach of policy or conditions
  - ▶ Immediate or emergent → Clear health and welfare cases
  - ▶ Programmatic
  - ▶ Repeat/Continuing

## Deficiencies - Minor

- ▶ A minor deficiency refers to a problem for which an immediate solution is not necessary to protect life or prevent distress.
- ▶ Facility issues, non-welfare related or infrequent findings
  - ▶ peeling or chipped paint
  - ▶ Pests
  - ▶ Improper signage
  - ▶ Food barrel without a top
  - ▶ Clutter
  - ▶ PPE
- ▶ Comments - items to note that were **very good** or not quite a deficiency, or an out of scope item

# A Quick Glance: Correction Schedule Chart

Score	Comment, Minor, Significant	Considerations	Correction Response time	EXAMPLES
1-2	Comment - Minor	Limited Time Avail.	Up to 3 weeks  (2nd & 3rd) Request -3 days/ each	Hole in wall, light out/Clutter, dirty surfaces, daily record missing entry - Grey Area: sx record no analgesics recorded or no next day entry
3	Significant	Not Immediate Health	3 days	Animal found dead in lab OR LARC space w/ no food and/or water in cage.,
3	Significant	Immediate Health Threat	Same Day	Animal past endpoint and not monitored per protocol, Unapproved study resulted in distress or animal welfare (e.g. labored breathing)

# Inspection Complete? NO!

- ▶ IACUP “Scribe” Action behind the scenes
  - Following inspection: Consultation and Scoring
  - Determine deficiency corrective plan/schedule
  - Communicate deficiency and corrective plan to PI/labs swiftly!
  - Document all responses
  - Generate report/program review for IACUC and IO



# Summary

- Chamber types: Multiple types, parameters, check-sheets, action plans and emergency contacts.
- Significant vs Minor determinations are more than surface observations.
- Communication of deficiencies/Corrective action and deadlines
- Culminate in the semi-annual program review.

Thank You

